

Financial Crimes Enforcement, Compliance & Risk Mitigation Workshop

Date: February 19, 2020 Time: 8:30am-5:00pm
Venue: British Colonial Hilton, Governors A-C

**REGISTER
TODAY**

About The Workshop

It is imperative that individuals within the financial services industry, regardless of their role, stay abreast of regulatory requirements and regulator expectations given today's fast-paced and quickly-changing global regulatory compliance landscape.

Recent high-profile cross-border investigations, data leaks, regulatory findings, and investigative journalist reports have captured the world's attention. What once was seen as a U.S.-led-and-coordinated effort has now become a multilateral process by which national governments collectively review, investigate and prosecute financial crimes and non-compliance matters.

Regulators and prosecutors in much of the world have been focused on combating financial crimes and continue to reach across borders to hold financial institutions, multi-national companies and individuals accountable.

Why participate in the Workshop?

Participants will be afforded the opportunity to gain invaluable access to an array of global and regional perspectives and experts, who will discuss hot industry topics such as:

- the global fight against money laundering,
- the current environment related to OFAC and sanctions compliance,
- cryptocurrency practices,
- criminal tax developments,
- EU mandatory disclosure regime, and
- best compliance practices for financial institutions.

The speakers and panelists will provide a global perspective on how these topics impact financial institutions and their employees and will provide practical recommendations and solutions to common compliance challenges.

Who is this Workshop designed for?

This workshop is appropriate for CEOs, COOs, directors, officers, senior management, compliance professionals, relationship managers, and other employees with regulatory and compliance responsibilities.

Who are the Speakers & Facilitators?

We have a distinguished group of international and local speakers. Almost all of the speakers have prior U.S. government experience including working for the U.S. Department of Justice (both in Washington, D.C. and for various U.S. Attorney's Offices) the Internal Revenue Service – Criminal Investigation (IRS – CI), the Securities & Exchange Commission (U.S. SEC), and the Office of Foreign Assets Controls (OFAC). The panelists also have significant financial services investigative and compliance counseling experience based on their years of private practice. The three main facilitators are:

Caroline Ciruolo

U.S. Lawyer and partner with Kostelanetz & Fink (law firm), former Acting Assistant Attorney General for the U.S. Department of Justice Tax Division.

Richard Kando

Certified Public Accountant and Managing Director with AlixPartners (consulting firm), former Special Agent for the Internal Revenue Service Criminal Investigation Division.

Jay Nanavati

U.S. Lawyer and partner with Kostelanetz & Fink (law firm), former federal and state prosecutor and former assistant chief for the U.S. Department of Justice Tax Division.

REGISTRATION FEE: \$150 Members / \$175 Non-Members

Includes lunch & workshop materials.

Please [register and pay online](#) on or before February 15th, 2020.

Onsite registration commences at 7:30am.

For more information:

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